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(54) Title: IMPLANTABLE DELIVERY DEVICE WITH SELF ADJUSTABLE EXIT PORT (57) Abstract A delivery device having a first chamber containing an osmotic agent, a membrane forming a wall of the first chamber through which fluid is imbibed by osmosis, a second chamber containing a beneficial agent to be delivered, and a moveable piston separating the two chambers. In fluid communication with the second chamber is an orifice which comprises a slit valve. In the presence of pressure, the beneficial agent pushes through the slit, opening up a channel for delivery of the beneficial agent and creating flow. Because the slit remains closed in the absence of flow (or when the pressure is below the pressure required to open the slit), back diffusion of external fluids is eliminated when the slit is closed, which prevents contamination of the beneficial agent in the second chamber by external fluids. In addition, forward diffusion of the beneficial agent out of the capsule is prevented when the slit is closed. The slit valve opens only to the minimum dimension required to allow the flow generated by the osmotic pumping rate. The slit valve also allows a flow path to open around any obstruction in the slit valve to prevent clogging.		

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IMPLANTABLE DELIVERY DEVICE WITH SELF ADJUSTABLE EXIT PORT

BACKGROUND OF THE INVENTION**1. Field of the Invention**

The present invention relates generally to an implantable delivery device, and more particularly to an exit port, such as a slit orifice for an implantable osmotic delivery device which has a variable size.

2. Description of the Related Art

Controlled delivery of beneficial agents such as drugs in the medical and veterinary fields has been accomplished by a variety of methods. One approach for delivering a beneficial agent involves the use of implantable diffusional systems. For example, subdermal implants for contraception are described by Philip D. Darney in *Current Opinion in Obstetrics and Gynecology*, 1991, 3:470-476. Norplant® requires the placement of 6 levonorgestrel-filled silastic capsules under the skin. Protection from conception for up to 5 years is achieved. The implants operate by simple diffusion, that is, the active agent diffuses through the polymeric material at a rate that is controlled by the characteristics of the active agent formulation and the polymeric material.

Another method for controlled prolonged delivery of a beneficial agent involves the use of an implantable osmotic delivery system. Osmotic delivery systems are very reliable in delivering the beneficial agent over an extended period of time. The osmotic pressure generated by an osmotic pump also produces a delivery rate of the beneficial agent into the body which is relatively constant as compared with other types of delivery systems.

In general, osmotic delivery systems operate by imbibing fluid from the outside environment and releasing corresponding amounts of the beneficial agent. Osmotic delivery systems, commonly referred to as "osmotic pumps", generally include some type of a capsule having walls which selectively pass water into an interior of the capsule which contains a water-attracting agent. The absorption of water by the water-attracting agent within the capsule reservoir creates an osmotic

1 pressure within the capsule which causes the beneficial agent to be delivered from
2 the capsule. The water-attracting agent may be the beneficial agent delivered to the
3 patient, however, in most cases, a separate agent is used specifically for its ability to
4 draw water into the capsule.

5 When a separate osmotic agent is used, the osmotic agent may be separated
6 from the beneficial agent within the capsule by a movable dividing member or
7 piston. The structure of the capsule is such that the capsule does not expand when
8 the osmotic agent takes in water. As the osmotic agent expands, it causes the
9 movable dividing member or piston to move, which in turn causes the beneficial
10 agent to be discharged through an orifice at the same volumetric rate that water
11 enters the osmotic agent by osmosis.

12 The orifice controls the interaction of the beneficial agent with the external
13 fluid environment. The orifice serves the important function of isolating the
14 beneficial agent from the external fluid environment, since any contamination of the
15 beneficial agent by external fluids may adversely affect the utility of the beneficial
16 agent. For example, the inward flux of materials of the external fluid environment
17 due to diffusion or osmosis may contaminate the interior of the capsule,
18 destabilizing, diluting, or otherwise altering the beneficial agent formulation.
19 Another important function of the orifice is to control or limit diffusional flow of the
20 beneficial agent through the orifice into the external fluid environment.

21 In known delivery devices, these functions have typically been performed by
22 flow moderators. A flow moderator may consist of a tubular passage having a
23 particular cross sectional area and length. The cross sectional area and length of the
24 flow moderator is chosen such that the average linear velocity of the exiting
25 beneficial agent is higher than that of the linear inward flux of materials in the
26 external environment due to diffusion or osmosis, thereby attenuating or moderating
27 back diffusion and its deleterious effects of contaminating the interior of the osmotic
28 pump.

29 In addition, the dimensions of the flow moderator may be chosen such that
30 the diffusive flux of the beneficial agent out of the orifice is small in comparison to
31 the convective flux. Figure 1 is a graph showing the relationship between the

1 orifice dimensions and drug diffusion as a percentage of pumped or connective
2 delivery for one set of pumping rates and drug diffusivity. Figure 1 shows, for
3 example, that the diffusive flux of the beneficial agent can be kept to less than 10%
4 of the convective flow using an orifice having a diameter of 5 mils and a length of at
5 least 0.6 cm, or an orifice having a diameter of 10 mils and a length of at least 2.4
6 cm.

7 One problem with flow moderators, however, is that the passage may
8 become clogged or obstructed with particles suspended in the beneficial agent or in
9 fluid from the external environment. Such clogging may be reduced or eliminated
10 by increasing the diameter of the passage to 5 mil or more, for example. However,
11 as shown in Figure 1, this increase results in a greater rate of diffusion of the
12 beneficial agent out of the osmotic pump. A corresponding increase also occurs in
13 the back diffusion of the external fluid into the osmotic pump which may
14 contaminate the beneficial agent and adversely affect the desired delivery rate of the
15 beneficial agent. Tolerances during fabrication also frequently dictate that the
16 orifice diameter be greater than about 5 mils.

17 Systems with a long straight flow moderator are also impractical for
18 implantation applications because they increase the size of the implant significantly
19 making the system difficult to implant.

20 Current flow modulators also cause separation of beneficial agents which
21 contain suspensions of bioactive macromolecules (proteins, genes, etc.). When such
22 suspensions pass along a restriction in current flow modulators, the suspension
23 separates and the delivery concentration of bioactive macromolecules varies.

24

25 SUMMARY OF THE INVENTION

26 According to one embodiment of the invention, an exemplary delivery
27 device, such as described in U.S. Patent Application Serial No. 08/595,761, the
28 entire disclosure of which is incorporated herein by reference, may be provided with
29 the slit orifice of the present invention. The delivery device comprises a capsule
30 containing a beneficial agent and an osmotic agent, a membrane which forms a
31 portion of a wall of the capsule, the membrane allowing fluid from an external

1 environment to pass into the capsule by osmosis to create an osmotic pressure in the
2 capsule, means for applying the osmotic pressure to the beneficial agent, and a
3 flexible member having therein a slit orifice which is in fluid communication with
4 the capsule.

5 In the presence of flow, the beneficial agent pushes through the slit, opening
6 up a channel for delivery of the beneficial agent. Because the slit orifice remains
7 closed in the absence of flow, back diffusion of external fluids is eliminated when
8 the slit is closed, which prevents contamination of the beneficial agent by external
9 fluids. Forward diffusion of the beneficial agent out of the capsule is also
10 prevented.

11 In addition, the slit orifice allows a flow path to open around an obstruction
12 in the slit orifice. In the event that a suspended particle becomes lodged in the slit
13 orifice, a new flow path is created around the obstacle, thereby preventing clogging.
14 The slit orifice is also very compact and easily fits inside the delivery device, which
15 is advantageous when the delivery device is implanted subcutaneously.

16 This combination uniquely addresses the complex issues presented in the
17 extremely low flow, high osmolar drug delivery systems as found, for example, in
18 U.S. Patent Application Serial No. 08/595,761. These issues include drug diffusion
19 out of the orifice, back diffusion of liquid from the environment of use into the
20 orifice, and clogging of the orifice, especially if the orifice is small enough to
21 eliminate drug diffusion and back diffusion.

22

23 **BRIEF DESCRIPTION OF THE DRAWINGS**

24 The foregoing and other objects, features and advantages of the present
25 invention will be more readily understood upon reading the following detailed
26 description in conjunction with the drawings in which:

27 Figure 1 is a graph of drug diffusion as a function of the diameter and length
28 of the orifice of a delivery device;

29 Figure 2 illustrates a delivery device which includes a slit orifice according
30 to an exemplary embodiment of the invention;

31 Figure 3 illustrates a delivery device which includes a slit orifice and a

1 catheter according to another embodiment of the invention;

2 Figure 4 is a graph which shows the release rates of the delivery devices of
3 Figures 2 and 3 as a function of time;

4 Figure 5 illustrates a delivery device which includes a slit orifice and a
5 conical recess according to another embodiment of the invention;

6 Figure 6 is a graph which shows the release rate of the delivery device of
7 Figure 5 as a function of time;

8 Figure 7 illustrates a delivery device which includes a slit orifice and a rigid
9 inner cylindrical member according to another embodiment of the invention;

10 Figure 8 illustrates a delivery device which includes a plurality of slit orifices
11 according to another embodiment of the present invention; and

12 Figure 9 is a graph illustrating a comparison of the release rates of two
13 osmotic delivery devices having an orifice according to one embodiment of the
14 present invention with the release rates of two osmotic delivery devices having a
15 spiral flow moderator.

16

17 **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

18 **Definitions**

19 The term "beneficial agent" includes any physiologically or
20 pharmacologically active substance or substances optionally in combination with
21 pharmaceutically acceptable carriers and optionally additional ingredients such as
22 antioxidants, stabilizing agents, permeation enhancers, etc.

23 The term "impermeable" refers to a material that is sufficiently impermeable
24 to environmental fluids as well as ingredients contained within the dispensing device
25 such that the migration of such materials into or out of the device through the
26 impermeable material is so low as to have substantially no adverse impact on the
27 function of the device.

28 The term "semipermeable" refers to a material that is permeable to external
29 fluids but substantially impermeable to other ingredients contained within the
30 dispensing device and the environment of use.

1 Water-attracting agents which are used to drive the osmotic flow of an
2 osmotic delivery device are referred to herein as "osmotic agents."

3 Figure 2 illustrates an example of an osmotic delivery device 10 according to
4 an exemplary embodiment of the present invention. The osmotic delivery device 10
5 generally includes a first chamber 20, a piston 30, and a second chamber or
6 reservoir 40, all of which may be enclosed within an elongated substantially
7 cylindrical capsule 15. The elongated capsule 15 is formed of a material such as
8 titanium which is sufficiently rigid to withstand expansion of an osmotic agent
9 without changing size or shape. The elongated capsule 15 is impermeable to fluids
10 and gases in the environment and to the ingredients contained therein.

11 The first chamber 20 contains an osmotic agent 25 which attracts water and
12 which may be in the form of a tablet. The osmotic agent 25 may be, for example, a
13 non-volatile water soluble osmagent, an osmopolymer which swells upon contact
14 with water, or a mixture of the two. The second chamber 40 contains a beneficial
15 agent, such as a drug, to be delivered. The second chamber 40 is separated from
16 the first chamber 20 by a movable piston 30. The movable piston 30 is a
17 substantially cylindrical member which is configured to fit within the capsule 15 in a
18 sealed manner and to slide along a longitudinal axis within the capsule. The piston
19 30 preferably is formed of an impermeable resilient material which forms a seal with
20 the walls of the capsule 15.

21 The drug delivery device 10 at its inlet end 12 includes a membrane 60
22 which forms at least a portion of a wall of the first chamber 20. The membrane 60
23 is formed of a semipermeable material which allows fluid to pass from an exterior
24 fluid environment into the first chamber 20 by osmosis to cause the osmotic agent to
25 swell. The membrane 60 may be in the form of a semipermeable plug which is
26 inserted in an open end 12 of the capsule 15 as shown in Figure 2. The membrane
27 60 is impermeable to the materials within the first chamber 20 so that they do not
28 flow out of the capsule 15 through the membrane 60.

29 Materials from which the membrane 60 can be made are those that are
30 semipermeable and that can conform to the shape of the capsule 15 upon wetting and
31 adhere to the rigid surface of the capsule 15. The membrane 60 expands as it

1 hydrates so that a seal is generated between the surface of the membrane 60 and the
2 capsule 15. The materials from which the membrane 60 is made vary based on
3 desired pumping rates and device configuration requirements and include, but are
4 not limited to, plasticized cellulosic materials, enhanced polymethylmethacrylates
5 such as hydroxyethylmethacrylate (HEMA) and elastomeric materials such as
6 polyurethanes and polyamides, polyether-polyamide copolymers, thermoplastic
7 copolyesters and the like.

8 In operation, when the delivery device 10 is situated in an aqueous
9 environment, water is drawn through the membrane 60 by osmosis into the first
10 chamber 20 containing the osmotic agent. The osmotic agent swells, creating an
11 osmotic pressure in the first chamber 20 which is applied to the second chamber 40
12 via the piston 30. The piston slides away from the membrane 60 forcing the
13 beneficial agent in the second chamber 40 to be delivered through at least one orifice
14 50 in the second chamber. The osmotic pump provides a relatively constant rate of
15 water intake which can be used to reliably deliver a desired quantity of the beneficial
16 agent over time.

17 The orifice 50, according to one embodiment of the invention, is formed in a
18 plug 52 of an elastic or semi-elastic material such as silicone, rubber, santoprene,
19 polyurethane, or an elastomeric thermoplastic polymer such as C-FLEX. The plug
20 52 is retained in an outlet end 14 of the capsule 15. The orifice 50 comprises a slit
21 54 made through the elastic or semi-elastic plug 52 which may be fluidly connected
22 to a flow moderator 56 disposed in the plug 52. The slit 54 and flow moderator 56
23 fluidly connect the interior of the second chamber 40 to the external fluid
24 environment.

25 As shown in Figure 2, the plug 52 may have two sections. The first section
26 57 has an outer diameter which is small enough to allow the plug 52 to be inserted
27 into the outlet end 14 of the capsule 15. The flow moderator 56 is disposed in the
28 first section 57. The second section 53 contains at least a portion of the slit 54 and
29 extends beyond the outlet end 14 of the capsule 15.

30 The orifice 50 operates as a valve which opens under the pressure of the
31 beneficial agent. The slit 54 of the orifice 50 may be under slight compression, for

1 example from compressive forces which form a seal between the outside of the plug
2 52 and the inside of the reservoir 15, so that in the absence of flow, the slit 54 forms
3 a closed valve which prevents fluid flow in either direction. Alternatively, the
4 materials used and plug dimensions may be selected so that the slit seals or closes
5 without the need for external compression. The slit 54 is preferably formed in the
6 second section 53 of the plug 52 which extends beyond the capsule 15 so that the
7 walls of the capsule 15 do not exert a significant closing force on the slit 54.

8 In the presence of flow, the beneficial agent pushes through the slit 54,
9 opening up a channel for delivery of the beneficial agent. In the absence of flow,
10 the slit 54 remains closed. When the slit is closed, back diffusion of external fluids
11 is eliminated, which prevents contamination of the beneficial agent in the second
12 chamber 40 by external fluids. In addition, forward diffusion of the beneficial agent
13 out of the capsule 15 is prevented. In continuous flow osmotic delivery systems, the
14 slit 54 will generally remain open throughout delivery of the beneficial agent.
15 However, pulsatile and bolus type delivery systems will generally cause the slit 54
16 to close during non-delivery periods.

17 When the osmotic pressure is high enough to open the slit 54 in the orifice
18 50, the slit 54 provides a flow channel of variable dimensions. The plug 52 in
19 which the slit 54 resides preferably comprises an elastic or semi-elastic material.
20 The osmotic pressure is great enough to overcome the elasticity of the plug 52 and
21 force open the slit 54. However, because the plug 52 is elastic, the flow channel
22 which is formed is preferably just large enough to allow passage of the beneficial
23 agent therethrough. The flow channel through the slit 54 may assume a range of
24 sizes based on the osmotic pumping rate and the viscosity of the beneficial agent, for
25 example.

26 The slit 54 generally opens to the smallest required diameter or opening to
27 allow for flow of beneficial agent through it. This is much smaller than could be
28 achieved with a rigid channel due to machining and tolerance limitations and/or
29 particulate clogging of such a small rigid channel.

30 As will be appreciated by those skilled in the art, the dimensions and
31 composition of the plug 52 and the slit 54 can be adjusted so that the slit 54 forms an

1 orifice of a desired size when used with a particular beneficial agent and osmotic
2 pump. For example, as the length of the slit 54 increases, the size of the orifice
3 created by the slit 54 can increase. Also, as the thickness of the plug 52 along a
4 longitudinal direction of the capsule 15 increases, the plug 52 becomes more
5 resistant to forming an orifice from the slit 54. The composition of the plug 52 also
6 affects the tendency of the slit 54 to open into an orifice. A more elastic material
7 will more easily form an orifice, or may form a wider orifice, than a more rigid
8 material. By varying these properties of the plug 52 and slit 54, the orifice can be
9 configured to open to a desired degree given the parameters of the delivery device,
10 e.g., the viscosity of the beneficial agent, the flow rate of the osmotic pump, and the
11 pressure of the osmotic pump. By varying the parameters listed above, one can
12 achieve an orifice that "opens" at a predetermined internal pressure, e.g. 30 lbf/in².

13 The ability to vary the size of the orifice 50 has the advantage that the cross
14 sectional area of the orifice 50 can be made small under the operating conditions of
15 the delivery device, which reduces diffusion of the beneficial agent out of the
16 delivery device, as shown in Figure 1, and reduces back diffusion of external fluids
17 into the delivery device. Generally, the system is designed so that the slit 54 is
18 forced open to the smallest possible degree to let the formulation seep through its
19 opening.

20 In addition, the requirement in prior delivery devices of a fixed dimension
21 orifice of sufficient size to permit passage of micro-aggregates is eliminated because
22 the slit 54 allows a flow path to open around an obstruction in the orifice 50. In the
23 event that a suspended particle becomes lodged in the orifice 50, a new flow path is
24 created around the obstacle, thereby preventing clogging. In operation, the active
25 flow channel may be significantly smaller than is required in a fixed diameter orifice
26 channel to prevent clogging.

27 Another advantage of the orifice 50 shown in Figure 2 is that the orifice is
28 very compact and easily fits inside the delivery device 10, as compared with a
29 conventional flow moderator which may be from 2 to 7 cm long, for example. The
30 small size of the orifice 50 is advantageous when the delivery device 10 is implanted
31 subcutaneously.

1 The flow moderator 56 may comprise a tube formed of a rigid or semi-rigid
2 material such as Teflon, HDPE, LDPE, or a metal, for example. The flow
3 moderator 56 forms a semi-rigid opening and allows compressive pressure to be
4 used to form a seal between the outside of the plug 52 and the inside of the reservoir
5 15 without compressing the slit 54 shut. Thus, as illustrated in Fig. 2, the slit 54
6 may be located in the uncompressed second section 53 of the plug 52 such that the
7 slit is not subject to the compression forces which form the seal between the plug 52
8 and the capsule 15. Likewise, the slit 54 may extend into the first section 57 such
9 that the slit is subject to these compressive forces.

10 Hence, the flow moderator 56 functions to improve the seal between the plug
11 52 and the capsule 15. As shown in Figure 2, the plug 52 may have several sealing
12 ridges 62 which each form a seal between the plug 52 and the capsule 15 to
13 effectively isolate the beneficial agent in the second chamber 40 from the external
14 fluid environment. Because the flow moderator 56 may be formed of a rigid
15 material, it may exert an outward radial force on the plug 52, which is preferably
16 less rigid than the flow moderator 56. This outward radial force increases the
17 pressure exerted by the sealing ridges 62 against the inside of the capsule 15, which
18 improves the seal between the plug 52 and the capsule 15. In addition, the outward
19 radial force increases the resistance of the plug 52 to being pushed out of the capsule
20 15 by the osmotic pressure generated by the osmotic pump. In other embodiments
21 of the present invention, the outward radial forces may also regulate the flow of the
22 beneficial agent and prevent back diffusion of external fluids into the capsule 15.

23 According to one exemplary embodiment of the present invention, the slit 54
24 illustrated in Figure 2 is formed by inserting a hypodermic needle, pin, or blade
25 through the first and second sections 57, 53 of the plug 52. For example, a
26 hypodermic needle having a predetermined diameter is inserted through the body of
27 the orifice 20 along the center axis of the orifice (parallel with the longitudinal axis
28 of the capsule 15). Thereafter, the needle is removed from the orifice 50. After the
29 slit 54 has been formed in the orifice 50, the flow moderator 56 is inserted into the
30 first section 57 of the plug 52. Depending upon the material of the plug 52, and the
31 dimensions of the slit 54 and flow moderator 56, it may be necessary to drill, carve,

1 punch, or mold a cylindrical recess in the first section 57 to receive the flow
2 moderator. In any case, the flow moderator 56 is preferably positioned within the
3 first section 57, and secured to the first section 57 via an interference fit, although
4 adhesives, threads and other means may be used to secure the flow moderator to the
5 first section of the plug 52. An end of the flow moderator 56 may protrude from the
6 first section 57, may be located within the first section, or may be inserted in the
7 first section such that it is flush with the first section.

8 The slit 54 may also be formed after the flow moderator 56 has been inserted
9 into the first section 57 of the plug 52. According to this method, the flow
10 moderator 56 is first inserted into the first section 57 of the plug 52. Thereafter, a
11 needle or device for forming the slit 54 is inserted completely through the
12 cylindrical channel of the tubular flow moderator 56 and through the second section
13 53 of the plug 52 to form the slit.

14 For example, an orifice 50, such as that illustrated in Figure 2, may be
15 formed by first inserting a 1.5 mm long portion of a 21 gauge (diameter of
16 approximately 0.8 mm) hypodermic needle into the first section 57 of a plug 52 of
17 styrene ethylene butadiene styrene block copolymer (C-FLEX LS 55A,
18 commercially available from CONSOLIDATED POLYMER TECHNOLOGIES).
19 The 1.5 mm long portion of the 21 gauge hypodermic needle is preferably at least
20 half the length of the final slit 54 to be formed. The following dimensions of the
21 plug 52 and capsule 15 are also preferred for this example: (1) the C-FLEX plug 52
22 is approximately 3.85 mm long (measured on an axis parallel with the longitudinal
23 axis of the capsule into which the orifice 50 is to be inserted), although only 3.13
24 mm of the plug is inserted into the capsule 15 after the orifice 50 has been
25 fabricated; (2) the plug 52 includes four equally spaced sealing ridges 62, each
26 having an outer diameter of approximately 3.24 mm and each approximately 0.26
27 mm thick (measured on an axis parallel with the longitudinal axis of the capsule into
28 which the orifice 50 is to be inserted); (3) the diameter of the cylindrical body of the
29 plug 52 at the base of the sealing ridges 62 is approximately 2.98 mm; and (4) the
30 inner diameter of the capsule 15 that receives the plug 52 is approximately 3.00 mm.

1 After the 1.5 mm long portion of the 21 gauge hypodermic needle has been
2 inserted into the first section 57 of the plug 52, a second hypodermic needle having
3 a smaller diameter than the portion of the 21 gauge hypodermic needle is inserted
4 into the portion of the 21 gauge hypodermic needle and completely through the first
5 and second sections 57, 53 of the plug 52. This step forms the slit 54, and also
6 removes any plug material inside the portion of the 21 gauge hypodermic needle to
7 form the flow moderator 56. If the second hypodermic needle is sized to tightly fit
8 through the 21 gauge flow moderator 56, the resulting slit 54 will be approximately
9 0.4 mm wide as measured perpendicular to the center axis of the orifice 50. An
10 orifice 50 having the above dimensions is intended to be particularly useful for
11 delivering high viscosity formulations of beneficial agents, such as 3% sodium
12 carboxymethyl cellulose in water.

13 Figure 9 is a graph of the release rate of beneficial agent over time and
14 compares two osmotic delivery systems having a spiral flow moderator with two
15 osmotic delivery systems or devices 10 having an orifice 50 according to
16 embodiments of the present invention, such as that illustrated in Figure 2. The
17 osmotic delivery devices 10 tested in Figure 9 included the capsule 15 and orifice 50
18 dimensioned and described above having the C-FLEX LS 55A plug 52 with the 0.4
19 mm slit 54 and the 21 gauge flow moderator 56.

20 As illustrated in Figure 9, the two osmotic delivery systems having a spiral
21 flow moderator and the two osmotic delivery systems 10 having the orifice 50
22 according to embodiments of the present invention were tested. The respective
23 systems were configured to deliver a beneficial agent, in this case water with blue
24 dye, over a one month and one year time period.

25 The osmotic delivery system 10 according to the present invention that was
26 configured to deliver the beneficial agent over a one year time period delivered
27 approximately 0.4 uL/day of the beneficial agent. Comparatively, the osmotic
28 delivery system incorporating the spiral flow modulator and configured to deliver
29 the beneficial agent over a one year time period also delivered approximately 0.4
30 uL/day of the beneficial agent. Thus, Figure 9 illustrates that the osmotic delivery
31 system 10 incorporating the orifice 50 and configured to deliver the beneficial agent

1 over a one year time period performed as well as the osmotic delivery system
2 incorporating the spiral flow modulator.

3 The osmotic delivery system 10 according to the present invention that was
4 configured to deliver the beneficial agent over a one month time period delivered
5 roughly 1.3 uL/day of the beneficial agent. Comparatively, the osmotic delivery
6 system incorporating the spiral flow modulator and configured to deliver the
7 beneficial agent over a one month time period also delivered roughly 1.3 uL/day of
8 the beneficial agent. Thus, Figure 9 illustrates that the osmotic delivery system 10
9 incorporating the orifice 50 and configured to deliver the beneficial agent over a one
10 month time period performed as well as the osmotic delivery system incorporating
11 the spiral flow modulator. In sum, the results depicted in Figure 9 illustrate that the
12 tested orifices 50 were as effective as the spiral flow moderators in delivering a
13 beneficial agent at different release rates.

14 Figure 3 illustrates another embodiment of the invention in which a catheter
15 156 is provided in fluid communication between the slit 154 and the capsule 115.
16 As shown in Figure 3, the delivery device 110 includes a membrane 160 which may
17 be in the form of a diffusion plug, a first chamber 120 which contains an osmotic
18 agent 125, a second chamber 140 which contains a beneficial agent, and a moveable
19 piston 130 which separates the first chamber 120 from the second chamber 140.
20 The osmotic pump, including the first and second chambers, piston, and membrane,
21 functions in the same manner as the pump of Figure 2.

22 As shown in Figure 3, the delivery device 110 includes a plug 142 having a
23 catheter 156 fixed therein. The plug 142 fits in the outlet end 114 of the capsule
24 115 and may include a plurality of ridges 162 to seal the plug 142 to the capsule
25 115. The plug 142 may have a first portion 147 which fits inside the walls of the
26 capsule 115 and a second portion 143 which extends beyond the outlet end 114 of
27 the capsule 115. The plug 142 may be formed of an elastic or semi-elastic material
28 such as silicone, rubber, santoprene, polyurethane, etc.

29 The catheter 156 is disposed in the plug 142 and is in fluid communication
30 with the beneficial agent in the second chamber 140. The catheter 156 is preferably
31 formed of a rigid or semi-rigid material such as Teflon, HDPE, LDPE, or a metal

1 so that it exerts a radially outward force on the plug 142 to increase the pressure of
2 the ridges 162 on the inside wall of the capsule 115. The increased pressure
3 improves the seal between the plug 142 and the capsule 115 and increases the
4 resistance of the plug 142 to being forced out of the capsule 115 by the osmotic
5 pressure generated by the osmotic pump.

6 The catheter 156 is also in fluid communication with a slit 154 formed in a
7 flexible member 152. The flexible member 152 preferably comprises an elastic or
8 semi-elastic material such as silicone, rubber, santoprene, polyurethane, etc. The
9 flexible member 152 may have two sections, a first section 157 in which the end of
10 the catheter 156 is disposed, and a second section 153 in which the slit 154 is
11 located. The slit 154 functions in much the same manner as the slit 54 of Figure 2.
12 However, the slit 154 is not subject to compressive forces created by the seal
13 between the plug 142 and the capsule 115.

14 The slit 154 is designed such that in the absence of flow, the slit 154 forms a
15 closed valve which prevents fluid flow in either direction. In the presence of flow,
16 the beneficial agent pushes through the slit 154, opening up a channel for delivery of
17 the beneficial agent. The dimensions and composition of the flexible member 152
18 and slit 154 can be chosen so that the slit 154 forms an orifice of a desired size
19 under the operating parameters of the delivery device, e.g., the viscosity of the
20 beneficial agent, the flow rate of the osmotic pump, and the pressure of the osmotic
21 pump.

22 Because the flexible member 152 is elastic, the flow channel which is formed
23 is preferably just large enough to allow passage of the beneficial agent therethrough.
24 The variability of the size of the orifice has the advantage that the cross sectional
25 area of the orifice is small (e.g., significantly smaller than a flow moderator of fixed
26 diameter), which reduces diffusion of the beneficial agent out of the delivery device,
27 as shown in Figure 1, and reduces diffusion of external fluids into the delivery
28 device. The slit 154 also allows a flow path to open around an obstruction in the slit
29 154.

1 The catheter 156 may have dimensions such that it performs as a flow
2 moderator, if desired, to further reduce diffusion of the beneficial agent out the slit
3 154 and back diffusion of external fluids into the second chamber 140.

4 The catheter 156 is also useful when the desired point of delivery of the
5 beneficial agent is difficult to access. For example, it may be advantageous
6 therapeutically to deliver the beneficial agent at a location which cannot
7 accommodate or tolerate the capsule 115. In this situation, the capsule 115 may be
8 implanted in a more acceptable location while the catheter 156 transports the
9 beneficial agent to the slit 154 at the delivery site. This embodiment may also be
10 utilized to make the capsule 115 more accessible to a treating physician rather than
11 being implanted at a location which requires an invasive procedure. For example,
12 the capsule 115 may be implanted close to the surface of the skin while the catheter
13 156 delivers the beneficial agent to a more remote location.

14 The improved performance of the exemplary delivery devices of Figures 2 and 3 is
15 shown in Figure 4. Figure 4 is a graph of the release rate in microliters per day
16 over time of the delivery devices of Figures 2 and 3. Figure 4 also shows the
17 release rate of a delivery device having a tubular flow moderator orifice in the shape
18 of a spiral. The data used in Figure 4 were obtained by placing each delivery device
19 in a release rate bath. The delivery devices were filled with a 1% solution of blue
20 dye in deionized water. At fixed points in time, the concentration of blue dye in the
21 release rate bath was measured. The experiment was conducted five times, and the
22 error bars shown in Figure 4 represent the standard deviation of the measurements.

23

1 The procedure and materials used in obtaining the data shown in Figure 4 are
2 as follows:

3
4 Granulation Tablet Compression:

5 TOWLING: 0.117" flat face

6 GRANULATION: 80.0% NaCl, 5.0% NaCMC 7H4F, 14.25%
7 Povidone, 0.75% Magnesium Stearate.

8 TABLET WEIGHT: 0.0841 g.

9 TABLET HEIGHT: 0.247 in.

10 COMPRESSION: 500 lb.

11

Tablet No.	Tablet Weight (g)	Tablet Height (in)
1	0.0955	0.309
2	0.0877	0.284
3	0.0848	0.273
4	0.0914	0.294
5	0.0825	0.265
Average	0.0884	0.385

12

13 PROCEDURE:

- 14 1. Lubricate large flanged piston with medical fluid 100cs CODE 80036
15 CONTROL 258887
- 16 2. Prime capsule (membrane end).
- 17 3. Insert large flanged piston into Hoechst Celanese capsule using the piston
18 inserted.
- 19 4. Push piston up & down using a rod.
- 20 5. Insert osmotic engine tablet into capsule from the membrane end and push
21 engine tablet down.
- 22 6. Insert half way the membrane plug.

- 1
- 2 7. Add two drops of glue in each side of membrane plug.
- 3 8. Press all the way down membrane plug and wipe glue residue with a paper
- 4 towel.
- 5 9. Add beneficial agent into capsule almost all the way to the top.
- 6 10. Insert orifice.
- 7 11. Insert orifice half way and add two drops of glue in each side of orifice plug
- 8 (all orifices were glued except systems 26-30).
- 9

10 COMPONENTS:

- | | |
|--------------------|---|
| 11 Formulation #1: | 1% blue dye in deionized water |
| 12 Membrane: | Fast "K" 100% hytrel 8171 |
| 13 Engine Tablet: | 80.0% NaCl, 5.0% NaCMC 7H4F, 14.25% Povidone, 0.75% |
| 14 | Magnesium Stearate. |
| 15 Piston: | Large santoprene flanced |
| 16 Orifice: | 1-5 screw spiral |
| 17 Orifice: | 11-15 external flow moderator (Figure 3) |
| 18 Orifice: | 21-25 internal 1 mm duckbill (Figure 2) |

20 As shown in Figure 4, the release rate of the delivery devices of Figures 2
21 and 3 having the slit orifices is significantly more constant than the release rate of
22 the delivery device having a spiral shaped flow moderator. This characteristic is of
23 course very important when the delivery device is used to supply drugs to humans
24 over an extended period of time. The slit orifice may be used to alter the start-up
25 beneficial agent delivery profile by changing the pressure at which the orifice opens
26 and/or decreasing the initial diffusive burst from the flow modulator.

27 In assembling the delivery device of the present invention, the beneficial
28 agent may also be added with the capsule after the orifice has been inserted into the
29 capsule. In such an assembly, a needle is inserted through the orifice and into the
30 capsule such that beneficial agent is delivered into the capsule via the needle. This
31 technique is advantageous because the slit orifice allows air to escape the capsule as

1 the beneficial agent fills the capsule. Thus, after the delivery device is inserted into
2 the environment of use, the osmotic agent need not compress any air bubbles in the
3 beneficial agent which would ordinarily delay start-up of beneficial agent delivery.

4 Figure 5 illustrates another embodiment of the invention which includes a
5 mechanism for varying the pressure required to open the orifice. As shown in
6 Figure 5, the orifice 250 is located at the outlet end 214 of a capsule 215. The
7 delivery device 210 also includes a membrane 260, a first chamber 220 containing
8 an osmotic agent, a second chamber 240 containing a beneficial agent, and a
9 moveable piston 230 separating the first chamber 220 from the second chamber 240.
10 The membrane 260, osmotic agent, piston 230, and second chamber 240 form an
11 osmotic pump which functions as described above with respect to Figures 2 and 3.

12 The orifice 250, according to an exemplary embodiment, comprises three
13 sections. A first portion 257 is located between the slit 254 and the second chamber
14 240 and is in fluid communication with both. A second portion 253 contains the slit
15 254. A third portion 259 occupies the annular space between the first and second
16 portions and the inner wall of the capsule 215.

17 The slit 254 is housed in the second portion 253. The second portion is
18 generally cylindrical in shape and preferably is formed of an elastic or semi-elastic
19 material such as silicone, rubber, santoprene, polyurethane, etc. The elasticity of
20 the second portion 253 allows the slit 254 to open under pressure from the beneficial
21 agent.

22 Upstream of the slit 254 and second portion 253 is the first portion 257. The
23 first portion 257 is also generally cylindrical in shape and has an inner recess 252.
24 The outer radius of the first portion 257 may be greater than the outer radius of the
25 second portion 253 so that a shoulder 251 is formed to secure the first and second
26 portions in the delivery device 210. The first and second portions may be integrally
27 formed as a single piece of material.

28 According to a preferred embodiment, the inner recess 252 of the first
29 portion 257 has at least one wall 258 which is at an acute angle to the direction of
30 flow 255 of the beneficial agent. Preferably, the inner recess 252 is in the shape of
31 a cone so that its entire wall 258 is at an acute angle with respect to the direction of

1 flow 255 of the beneficial agent. As the beneficial agent is forced into the inner
2 recess 252, the beneficial agent exerts a force on the wall 258 of the inner recess
3 252 which has a radial component. The radial force operates to open the slit 254 in
4 the second portion 253 of the orifice. Because the first and second portions are
5 formed of an elastic or semi-elastic material, the force of the beneficial agent opens
6 the slit 254 just wide enough to deliver the beneficial agent with very little if any
7 forward diffusion of the beneficial agent or backward diffusion of external fluids
8 into the second chamber 240.

9 The shape and composition of the first and second portions 257 and 253 and
10 of the slit 254 may be adapted to accommodate beneficial agents of different
11 viscosities or to adjust the pressure required to open the slit 254. For example, a
12 beneficial agent with a relatively low viscosity will more easily flow through a
13 smaller opening of the slit 254 than a beneficial agent with a higher viscosity. To
14 equalize this discrepancy, the angle between the wall 258 and the direction of flow
15 255 can be adjusted for the viscous beneficial agent so that the slit is more easily
16 opened. The angle between the wall 258 and the direction of flow 255 can also be
17 adjusted to vary the pressure at which the slit will open and close for a beneficial
18 agent of a given viscosity. In addition the dimensions and composition of the second
19 portion 253 can be adjusted so that the slit 254 forms an orifice of a desired size
20 under the operating parameters of the delivery device, e.g., the viscosity of the
21 beneficial agent, the flow rate of the osmotic pump, and the pressure of the osmotic
22 pump.

23 The third portion 259 occupies the annular space between the first and
24 second portions and the inner wall of the capsule 215. The third portion 259 may
25 have grooves 272 which mate with corresponding ridges 274 projecting from the
26 inner wall of the capsule 215. The grooves 272 and ridges 274 may be circular or
27 they may be in the form of screw threads such that the third portion 259 may be
28 screwed into the outlet end of the capsule 215. The ridges and grooves are provided
29 to secure the third portion 259 in the end of the capsule 215 in spite of the osmotic
30 pressure generated by the osmotic pump.

1 The third portion 259 also includes an inwardly extending flange 276 which
2 contacts the shoulder 251 formed between the first and second portions 257 and 253.
3 The flange 276 contacts the shoulder 251 to retain the first and second portions in
4 the capsule 215. The flange 276 may also function to apply a slight radial inward
5 pressure on the second portion 253 so that the slit 254 remains closed in the absence
6 of flow.

7 The orifice 250 provides the advantage that the flow channel may be
8 significantly smaller than is required in a fixed diameter orifice, since the flow
9 channel opens just large enough to deliver the beneficial agent. In addition, in the
10 event that a suspended particle becomes lodged in the orifice 250, a new flow path is
11 created around the obstacle. The orifice 250 is also very compact, as illustrated in
12 Figure 5.

13 The improved performance of the exemplary delivery device of Figure 5 is
14 shown in Figure 6. Figure 6 is a graph of the release rate in microliters per day
15 over time of the delivery device of Figure 5. Figure 6 also shows the release rate of
16 a delivery device having a flow moderator orifice in the shape of a spiral. The data
17 used in Figure 6 were obtained by placing each delivery device in a release rate
18 bath. The delivery devices were filled with a 1 % solution of blue dye in deionized
19 water. At fixed points in time, measurements were taken of the concentration of
20 blue dye in the release rate bath.

21 As shown in Figure 6, the release rate of the delivery devices of Figure 5
22 having the slit orifice is significantly more constant than the release rate of the
23 delivery device having a spiral shaped flow moderator.

24 Figure 7 illustrates another embodiment of an orifice which includes an inner
25 recess 352 and an inner cylindrical member 359. As shown in Figure 7, the orifice
26 350 is located at the outlet end 314 of the capsule 315. The delivery device 310 also
27 includes a membrane 360, a first chamber 320 containing an osmotic agent, a second
28 chamber 340 containing a beneficial agent, and a moveable piston 330 separating the
29 first chamber 320 from the second chamber 340. The membrane 360, osmotic
30 agent, piston 330, and second chamber 340 form an osmotic pump which functions
31 as described above with respect to Figures 2 and 3.

1 The orifice 350, according to an exemplary embodiment, comprises three
2 components. A first portion 357 is located between the slit 354 and the second
3 chamber 340 and is in fluid communication with both. A second portion 353
4 contains the slit 354. A third portion 359 resides in the annular space between the
5 first and second portions and the inner wall of the capsule 315.

6 The slit 354 is housed in the second portion 353. The second portion is
7 generally cylindrical in shape and preferably is formed of an elastic or semi-elastic
8 material such as silicone, rubber, santoprene, polyurethane or an elastomeric
9 thermoplastic polymer such as C-FLEX. The elasticity of the second portion 353
10 allows the slit 354 to open under pressure from the beneficial agent.

11 Upstream of the slit 354 and second portion 353 is the first portion 357. The
12 first portion 357 is also generally cylindrical in shape and has an inner recess 352.
13 The outer radius of the first portion 357 may be greater than the outer radius of the
14 second portion 353 so that a shoulder 351 is formed to secure the first and second
15 portions in the delivery device 310. The first and second portions may be integrally
16 formed as a single piece of material.

17 According to a preferred embodiment, the inner recess 352 of the first
18 portion 357 has at least one wall 358 which is at an acute angle to the direction of
19 flow 355 of the beneficial agent. Preferably, the inner recess 352 is in the shape of
20 a cone so that its entire wall 358 is at an acute angle with respect to the direction of
21 flow 355 of the beneficial agent. As the beneficial agent is forced into the inner
22 recess 352, the beneficial agent exerts a force on the wall 358 of the inner recess
23 352 which has a radial component. The radial force operates to open the slit 354 in
24 the second portion 353 of the orifice. Because the first and second portions are
25 formed of an elastic or semi-elastic material, the force of the beneficial agent opens
26 the slit 354 just wide enough to deliver the beneficial agent with little if any forward
27 diffusion of the beneficial agent or backward diffusion of external fluids into the
28 second chamber 340. The shape of the inner recess 352 (e.g., the angle of the wall
29 358) may be adapted to accommodate beneficial agents of different viscosities or to
30 adjust the pressure required to open the slit 354. In addition, the dimensions and
31 composition of the second portion 353 can be chosen so that the slit 354 forms an

1 orifice of a desired size under the operating parameters of the delivery device, e.g.,
2 the viscosity of the beneficial agent, the flow rate of the osmotic pump, and the
3 pressure of the osmotic pump.

4 The third portion 359 resides in the annular space between the first and
5 second portions and the inner wall of the capsule 315. The third portion 359 is
6 preferably formed of a rigid material such as titanium. The third portion may be
7 generally in the form of an inner cylindrical member or "cup" with a hole 380 in its
8 bottom. The third portion 359 is preferably formed to have an outer diameter which
9 is small enough that the third portion 359 may be pressed into the outlet end 314 of
10 the capsule 315. The outer diameter of the third portion 359 is preferably large
11 enough, however, that the third portion 359 is frictionally retained in the outlet end
12 314 of the capsule 315 in the presence of the osmotic pressure generated by the
13 osmotic pump. When properly dimensioned, the frictional force between the third
14 portion 359 and the capsule 315 is sufficient to permanently retain the third portion
15 359 in the capsule 315.

16 The third portion 359 also includes an inwardly extending flange 376 which
17 contacts the shoulder 351 formed between the first and second portions 357 and 353.
18 The flange 376 contacts the shoulder 351 to retain the first and second portions in
19 the capsule 315. The flange 376 preferably does not extend all the way inward to
20 the second portion 353 so that a gap 390 exists between the second portion
21 containing the slit 354 and the flange 376. The gap 390 is provided so that the
22 flange 376 does not exert any pressure on the slit 354.

23 The orifice 350 provides the advantages that the flow channel which opens
24 under pressure from the beneficial agent may be significantly smaller than is
25 required in a fixed diameter orifice, since the flow channel opens just large enough
26 to deliver the beneficial agent. In addition, in the event that a suspended particle
27 becomes lodged in the orifice 350, a new flow path is created around the obstacle.
28 The rigid third portion 359 is also very effective in maintaining the orifice 350 in
29 the capsule against the osmotic pressure. The orifice is also very compact, which is
30 advantageous for subcutaneous implantation.

1 Figure 8 illustrates another embodiment of an orifice 450 which includes a
2 plurality of slit orifices 454. As shown in Figure 8, the orifice 450 is located at the
3 outlet end of the capsule 415. The delivery device 400 also includes a membrane
4 460, a first chamber 420 containing an osmotic agent, a second chamber 440
5 containing a beneficial agent, and a movable piston 430 separating the first chamber
6 420 from the second chamber 440. The membrane 460, osmotic agent, piston 430,
7 and second chamber 440 form an osmotic pump which functions as described above
8 with respect to Figures 2 and 3.

9 The orifice 450, according to an exemplary embodiment, includes a plurality
10 of slit orifices similar to those described above in reference to Figures 2, 5, and 7.
11 As shown in Figure 8, an inner cylindrical member 459 is positioned in the end of
12 the capsule 415 opposite the membrane 460. In this embodiment, a flexible member
13 456, which contains the plurality of slit orifices 454 and inner recesses 452, has
14 been prepositioned in the inner cylindrical member 459. Similar to the embodiment
15 depicted in Figure 5, the inner cylindrical member 459 may be made of a material
16 which helps maintain the seal between the capsule 415 and the orifice 450. For
17 example, the inner cylindrical member 459 may be made of less resilient material
18 than the flexible member 456 which contains the plurality of slits 454. In another
19 embodiment of the present invention not depicted, the inner cylindrical member 459
20 is not included, and the flexible member 456 is adapted and configured to form a
21 seal with the capsule 415.

22 As illustrated in Figure 8, the orifice 450 includes a plurality of slit orifices
23 454 and inner recesses 452, which are particularly useful for delivering beneficial
24 agents having suspensions of bioactive macromolecules such as proteins and genes.
25 Known delivery orifices may cause such suspension formation to separate as the
26 formulation is moved into a small chamber such as a helical orifice prior to being
27 released into the environment of use. The embodiment of the present invention
28 which incorporates a plurality of slit orifices 454 allows such suspension
29 formulations to travel relatively unrestricted, minimizing the amount of separation
30 before it exits the delivery device 400. In this regard, the plurality of slit orifices
31 454 in combination with the plurality of inner recesses 452 allows for a nearly

1 constant front of beneficial agent, such as suspensions containing bioactive
2 macromolecules, to be released from the delivery device 400, while also minimizing
3 back diffusion of external fluids into the delivery device. Furthermore, the
4 embodiment of the present invention illustrated in Figure 8 also provides the many
5 advantages described above in reference to Figures 1 - 7.

6 In the event that one or some of the slit orifices 454 becomes clogged with
7 macromolecules or particles, the other non-clogged slit orifices of the delivery
8 device 400 will continue to release the beneficial agent. Thus, the plurality of slits
9 454 and recesses 452 acts as a safety, ensuring that beneficial agent delivery
10 continues.

11 Materials which may be used for the capsule should be sufficiently strong to
12 ensure that the capsule will not leak, crack, break, or distort under stresses to which
13 they would be subjected during implantation or under stresses due to the pressures
14 generated during operation. The capsule may be formed of chemically inert and
15 biocompatible, natural or synthetic materials which are known in the art. The
16 material of the capsule is preferably a non-bioerodible material which remains in the
17 patient after use, such as titanium. However, the material of the capsule may
18 alternatively be of bioerodible material which bioerodes in the environment after
19 dispensing of the beneficial agent. Generally, preferred materials for the capsule are
20 those acceptable for human implants.

21 In general, typical materials of construction suitable for the capsule
22 according to the present invention include non-reactive polymers or biocompatible
23 metals or alloys. The polymers include acrylonitrile polymers such as acrylonitrile-
24 butadiene-styrene terpolymer, and the like; halogenated polymers such as
25 polytetrafluoroethylene, polychlorotrifluoroethylene, copolymer of
26 tetrafluoroethylene and hexafluoropropylene; polyimide; polysulfone;
27 polycarbonate; polyethylene; polypropylene; polyvinylchloride-acrylic copolymer;
28 polycarbonate-acrylonitrile-butadiene-styrene; polystyrene; and the like. Metallic
29 materials useful for the capsule include stainless steel, titanium, platinum, tantalum,
30 gold, and their alloys, as well as gold-plated ferrous alloys, platinum-plated ferrous
31 alloys, cobalt-chromium alloys and titanium nitride coated stainless steel.

1 In general, materials suitable for use in the piston are elastomeric materials
2 including the non-reactive polymers listed above, as well as elastomers in general,
3 such as polyurethanes and polyamides, chlorinated rubbers, styrene-butadiene
4 rubbers, and chloroprene rubbers.

5 The osmotic tablet is an osmotic agent which is a fluid-attracting agent used
6 to drive the flow of the beneficial agent. The osmotic agent may be an osmagent, an
7 osmopolymer, or a mixture of the two. Species which fall within the category of
8 osmagent, i.e., the non-volatile species which are soluble in water and create the
9 osmotic gradient driving the osmotic inflow of water, vary widely. Examples are
10 well known in the art and include magnesium sulfate, magnesium chloride,
11 potassium sulfate, sodium chloride, sodium sulfate, lithium sulfate, sodium
12 phosphate, potassium phosphate, d-mannitol, sorbitol, inositol, urea, magnesium
13 succinate, tartaric acid, raffinose, and various monosaccharides, oligosaccharides
14 and polysaccharides such as sucrose, glucose, lactose, fructose, and dextran, as well
15 as mixtures of any of these various species.

16 Species which fall within the category of osmopolymer are hydrophilic
17 polymers that swell upon contact with water, and these vary widely as well.
18 Osmopolymers may be of plant or animal origin, or synthetic, and examples of
19 osmopolymers are well known in the art. Examples include: poly(hydroxy-alkyl
20 methacrylates) with molecular weight of 30,000 to 5,000,000,
21 poly(vinylpyrrolidone) with molecular weight of 10,000 to 360,000, anionic and
22 cationic hydrogels, polyelectrolyte complexes, poly(vinyl alcohol) having low
23 acetate residual, optionally cross-linked with glyoxal, formaldehyde or
24 glutaraldehyde and having a degree of polymerization of 200 to 30,000, a mixture of
25 methyl cellulose, cross-linked agar and carboxymethylcellulose, a mixture of
26 hydroxypropyl methylcellulose and sodium carboxymethylcellulose, polymers of N-
27 vinyl lactams, polyoxyethylene-polyoxypropylene gels, polyoxybutylene-
28 polyethylene block copolymer gels, carob gum, polyacrylic gels, polyester gels,
29 polyurea gels, polyether gels, polyamide gels, polypeptide gels, polyamino acid
30 gels, polycellulosic gels, carbopol acidic carboxy polymers having molecular
31 weights of 250,000 to 4,000,000, Cyanamer polyacrylamides, cross-linked indene-

1 maleic anhydride polymers, Good-Rite polyacrylic acids having molecular weights
2 of 80,000 to 200,000, Polyox polyethylene oxide polymers having molecular
3 weights of 100,000 to 5,000,000, starch graft copolymers, and Aqua-Keeps acrylate
4 polymer polysaccharides.

5 Delivery capsules in accordance with the present invention for the delivery of
6 beneficial agents, may be manufactured by a variety of techniques, many of which
7 are known in the art.

8 In one such embodiment of this invention, the beneficial agents contained in
9 the second chamber are flowable compositions such as liquids, suspension, or
10 slurries, and are poured into the capsule after the osmotic agent and the piston have
11 been inserted. Alternatively, such flowable compositions may be injected with a
12 needle through a slit in the plug, which allows for filling without air bubbles. Still
13 further alternatives may include any of the wide variety of techniques known in the
14 art for forming capsules used in the pharmaceutical industry.

15 Animals to whom drugs may be administered using systems of this invention
16 include humans and other animals. The invention is of particular interest for
17 application to humans and household, sport, and farm animals, particularly
18 mammals. For the administration of beneficial agents to animals, the devices of the
19 present invention may be implanted subcutaneously or intraperitoneally or at any
20 other location in a biological environment where aqueous body fluids are available
21 to activate the osmotic engine.

22 The devices of this invention are also useful in environments outside of
23 physiological or aqueous environments. For example, the devices may be used in
24 intravenous systems (attached to an IV pump or bag or to an IV bottle, for example)
25 for delivering beneficial agents to animals, primarily to humans. They may also be
26 utilized in blood oxygenators, kidney dialysis and electrophoresis, for example.
27 Additionally, devices of the present invention may be used in the biotechnology
28 area, such as to deliver nutrients or growth regulating compounds to cell cultures.

29 The present invention applies to the administration of beneficial agents in
30 general, which include any physiologically or pharmacologically active substance.
31 The beneficial agent may be any of the agents which are known to be delivered to

1 the body of a human or an animal such as drug agents, medicaments, vitamins,
2 nutrients, or the like. The beneficial agent may also be an agent which is delivered
3 to other types of aqueous environments such as pools, tanks, reservoirs, and the
4 like. Included among the types of agents which meet this description are biocides,
5 sterilization agents, nutrients, vitamins, food supplements, sex sterilants, fertility
6 inhibitors and fertility promoters.

7 Drug agents which may be delivered by the present invention include drugs
8 which act on the peripheral nerves, adrenergic receptors, cholinergic receptors, the
9 skeletal muscles, the cardiovascular system, smooth muscles, the blood circulatory
10 system, synaptic sites, neuroeffector junctional sites, endocrine and hormone
11 systems, the immunological system, the reproductive system, the skeletal system,
12 autacoid systems, the alimentary and excretory systems, the histamine system and
13 the central nervous system. Suitable agents may be selected from, for example,
14 proteins, enzymes, hormones, polynucleotides, nucleoproteins, polysaccharides,
15 glycoproteins, lipoproteins, polypeptides, steroids, analgesics, local anesthetics,
16 antibiotic agents, anti-inflammatory corticosteroids, ocular drugs and synthetic
17 analogs of these species.

18 Examples of drugs which may be delivered by devices according to this
19 invention include, but are not limited to prochlorperazine edisylate, ferrous sulfate,
20 aminocaproic acid, mecamylamine hydrochloride, procainamide hydrochloride,
21 amphetamine sulfate, methamphetamine hydrochloride, benzamphetamine
22 hydrochloride, isoproterenol sulfate, phenmetrazine hydrochloride, bethanechol
23 chloride, methacholine chloride, pilocarpine hydrochloride, atropine sulfate,
24 scopolamine bromide, isopropamide iodide, tridihexethyl chloride, phenformin
25 hydrochloride, methylphenidate hydrochloride, theophylline choline, cephalexin
26 hydrochloride, diphenidol, meclizine hydrochloride, prochlorperazine maleate,
27 phenoxybenzamine, thiethylperazine maleate, anisindone, diphenadione erythrityl
28 tetranitrate, digoxin, isofluorophate, acetazolamide, methazolamide,
29 bendroflumethiazide, chloropromazine, tolazamide, chlormadinone acetate,
30 phenaglycodol, allopurinol, aluminum aspirin, methotrexate, acetyl sulfisoxazole,
31 erythromycin, hydrocortisone, hydrocorticosterone acetate, cortisone acetate,

1 dexamethasone and its derivatives such as betamethasone, triamcinolone,
2 methyltestosterone, 17-S-estradiol, ethinyl estradiol, ethinyl estradiol 3-methyl
3 ether, prednisolone, 17 α -hydroxyprogesterone acetate, 19-nor-progesterone,
4 norgestrel, norethindrone, norethisterone, norethiederone, progesterone,
5 norgesterone, norethynodrel, aspirin, indomethacin, naproxen, fenoprofen, sulindac,
6 indoprofen, nitroglycerin, isosorbide dinitrate, propranolol, timolol, atenolol,
7 alprenolol, cimetidine, clonidine, imipramine, levodopa, chlorpromazine,
8 methyldopa, dihydroxyphenylalanine, theophylline, calcium gluconate, ketoprofen,
9 ibuprofen, cephalixin, erythromycin, haloperidol, zomepirac, ferrous lactate,
10 vincamine, diazepam, phenoxybenzamine, diltiazem, milrinone, capropril, mandol,
11 quanbenz, hydrochlorothiazide, ranitidine, flurbiprofen, fenufen, fluprofen,
12 tolmetin, alclofenac, mefenamic, flufenamic, difuinal, nimodipine, nitrendipine,
13 nisoldipine, nicardipine, felodipine, lidoflazine, tiapamil, gallopamil, amlodipine,
14 mioflazine, lisinolpril, enalapril, enalaprilat, captopril, ramipril, famotidine,
15 nizatidine, sucralfate, etintidine, tetatolol, minoxidil, chlordiazepoxide, diazepam,
16 amitriptyline, and imipramine. Further examples are proteins and peptides which
17 include, but are not limited to, insulin, colchicine, glucagon, thyroid stimulating
18 hormone, parathyroid and pituitary hormones, calcitonin, renin, prolactin,
19 corticotrophin, thyrotropic hormone, follicle stimulating hormone, chorionic
20 gonadotropin, gonadotropin releasing hormone, bovine somatotropin, porcine
21 somatotropin, oxytocin, vasopressin, GRF, prolactin, somatostatin, lypressin,
22 pancreozymin, luteinizing hormone, LHRH, LHRH agonists and antagonists,
23 leuprolide, interferons, interleukins, growth hormones such as human growth
24 hormone, bovine growth hormone and porcine growth hormone, fertility inhibitors
25 such as the prostaglandins, fertility promoters, growth factors, coagulation factors,
26 human pancreas hormone releasing factor, analogs and derivatives of these
27 compounds, and pharmaceutically acceptable salts of these compounds, or their
28 analogs or derivatives.

29 The beneficial agent can be present in this invention in a wide variety of
30 chemical and physical forms, such as solids, liquids and slurries. On the molecular
31 level, the various forms may include uncharged molecules, molecular complexes,

1 and pharmaceutically acceptable acid addition and base addition salts such as
2 hydrochlorides, hydrobromides, sulfate, laurylate, oleate, and salicylate. For acidic
3 compounds, salts of metals, amines or organic cations may be used. Derivatives
4 such as esters, ethers and amides can also be used. An active agent can be used
5 alone or mixed with other active agents.

6 According to other embodiments of the present invention, the delivery device
7 may take different forms. For example, the piston may be replaced with a flexible
8 member such as a diaphragm, partition, pad, flat sheet, spheroid, or rigid metal
9 alloy, and may be made of any number of inert materials. Furthermore, the osmotic
10 device may function without the piston, having simply an interface between the
11 osmotic agent/fluid additive and the beneficial agent.

12 The above-described exemplary embodiments are intended to be illustrative
13 in all respects, rather than restrictive, of the present invention. Thus the present
14 invention is capable of many variations in detailed implementation that can be
15 derived from the description contained herein by a person skilled in the art. All
16 such variations and modifications are considered to be within the scope and spirit of
17 the present invention as defined by the following claims.

1 **Claims:**

- 2 1. A delivery device comprising:
- 3 a capsule containing a beneficial agent and an osmotic agent;
- 4 at least a portion of a wall of the capsule being permeable to fluid from an
- 5 external environment to allow the fluid to pass into the capsule by osmosis to create
- 6 an osmotic pressure in the capsule;
- 7 means for applying the osmotic pressure to the beneficial agent; and
- 8 a flexible member having therein at least one slit orifice which is in fluid
- 9 communication with the capsule, the slit orifice being closed when a pressure of the
- 10 beneficial agent is less than a predetermined pressure.
- 11
- 12 2. The delivery device of claim 1, wherein the capsule has an open end and
- 13 the flexible member comprises a plug which fits into the open end of the capsule.
- 14
- 15 3. The delivery device of claim 2, wherein the plug comprises:
- 16 an inner portion which resides within the capsule; and
- 17 an outer portion which extends beyond an end of the capsule, and wherein
- 18 the slit orifice is located in the outer portion of the plug.
- 19
- 20 4. The delivery device of claim 1, further comprising a rigid tube which is
- 21 disposed in the inner portion of the plug, the rigid tube being in fluid
- 22 communication with the slit orifice and with an interior of the capsule.
- 23
- 24 5. The delivery device of claim 1, further comprising a tube connected
- 25 between the flexible member and the capsule, the tube being in fluid communication
- 26 with the slit orifice and with the capsule.
- 27
- 28 6. The delivery device of claim 5, wherein the tube is a catheter which
- 29 transfers the flow of the beneficial agent therethrough.
- 30

1 7. The delivery device of claim 5, further comprising a plug which fits into
2 an open end of the capsule, the plug having an opening which receives the tube,
3 wherein the tube forces the plug outward in a radial direction to form a seal between
4 the plug and the capsule.

5

6 8. The delivery device of claim 1, wherein the portion of the wall being
7 permeable to fluid is a membrane.

8

9 9. The delivery device of claim 1, wherein the flexible member comprises:
10 a first portion upstream of the slit orifice, the first portion having a recess;
11 and a second portion in which the slit orifice is located;
12 wherein the recess has a wall which is at an acute angle with respect to a
13 direction of flow of the beneficial agent such that the flow of the beneficial agent
14 exerts an outward radial force on the flexible member.

15

16 10. The delivery device of claim 9, wherein the wall of the recess is in the
17 form of a cone with the slit orifice intersecting the apex of the cone.

18

19 11. The delivery device of claim 1, wherein the flexible member includes a
20 plurality of slit orifices.

21

22 12. A delivery device comprising:
23 a capsule containing a beneficial agent and an osmotic agent;
24 a portion of a wall of the capsule being permeable to fluid from an external
25 environment to allow the fluid to pass into the capsule by osmosis to create an
26 osmotic pressure in the capsule;
27 means for applying the osmotic pressure to the beneficial agent; and
28 a flexible member having therein at least one orifice with a variable cross
29 sectional area.

30

- 1 13. A delivery device comprising:
2 a chamber containing a beneficial agent to be delivered;
3 a flexible member having therein a slit orifice which is in fluid
4 communication with an interior of the chamber, the flexible member comprising:
5 a first portion upstream of the slit orifice, the first portion having a
6 recess, the recess having a wall which is at an acute angle to a direction of flow of
7 the beneficial agent; and
8 a second portion in which the slit orifice is located; and
9 means for applying a pressure to the beneficial agent to force the beneficial
10 agent through the recess and the slit orifice.
11
12 14. The delivery device of claim 13, wherein the capsule has a cylindrical
13 wall, the delivery device further comprising:
14 an inner cylindrical member which is fixed within the cylindrical wall of the
15 capsule, the inner cylindrical member comprising a flange which extends radially
16 inward for maintaining the flexible member in the inner cylindrical member.
17
18 15. The delivery device of claim 14, wherein the inner cylindrical member
19 comprises a metal.
20
21 16. The delivery device of claim 13, further comprising a flange which
22 extends inwardly from an exterior wall of the capsule, the flange defining a central
23 opening, the central opening having a first cross sectional area which is less than a
24 cross sectional area of the first portion of the flexible member and which is greater
25 than a cross sectional area of the second portion of the flexible member.
26
27 17. A method of forming a delivery device comprising the steps of:
28 filling a chamber of the delivery device with a beneficial agent having a
29 known viscosity;
30 providing an exit passage through which the beneficial agent is delivered, the
31 exit passage comprising a first portion having a wall which is at an angle to a

1 direction of flow of the beneficial agent, the exit passage also comprising a slit
2 orifice downstream of the first portion; and
3 selecting the angle of the wall with respect to the direction of flow of the
4 beneficial agent based on the viscosity of the beneficial agent.

5

6 18. The method of claim 16, further comprising the step of forming the first
7 portion of the exit passage in the shape of a cone with the slit orifice intersecting an
8 apex of the cone.

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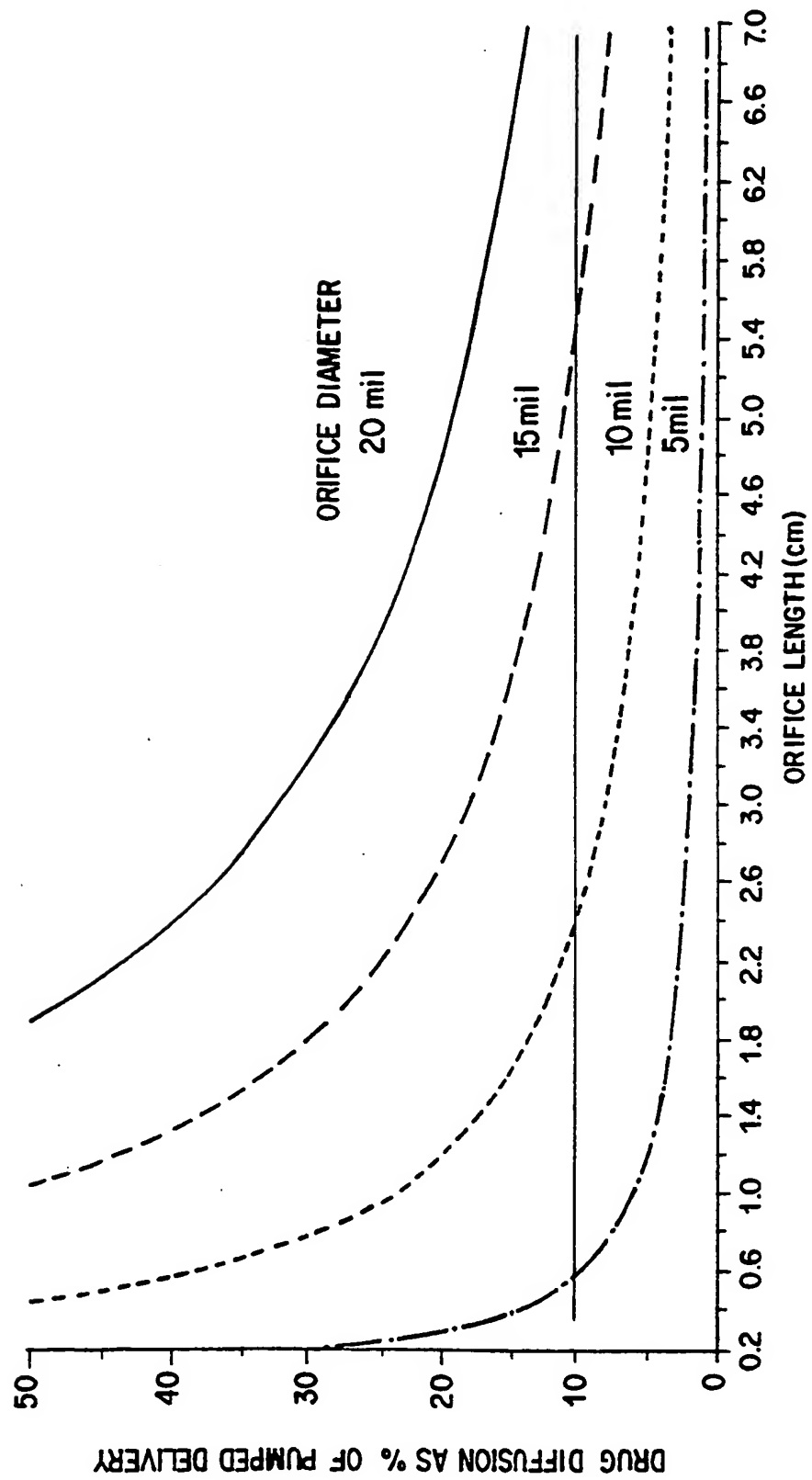


FIG. 1

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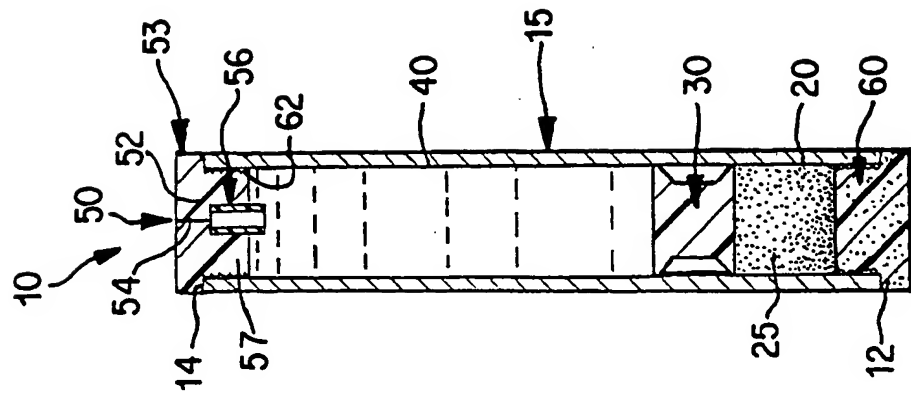


FIG. 2

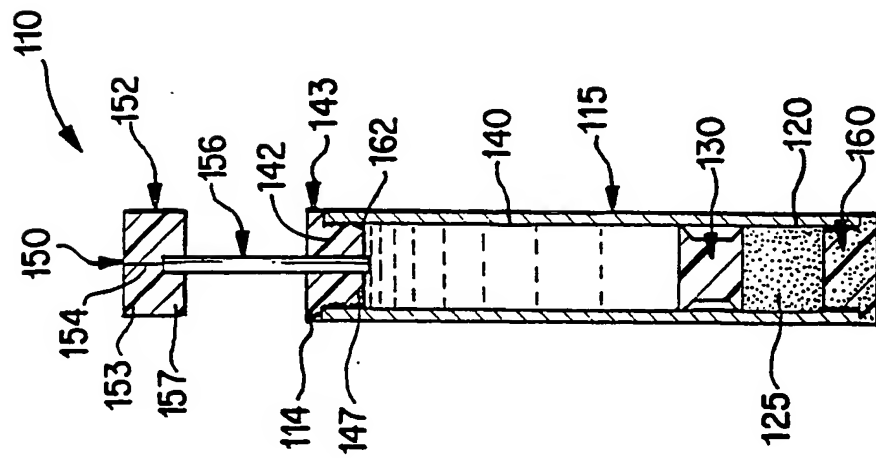


FIG. 3

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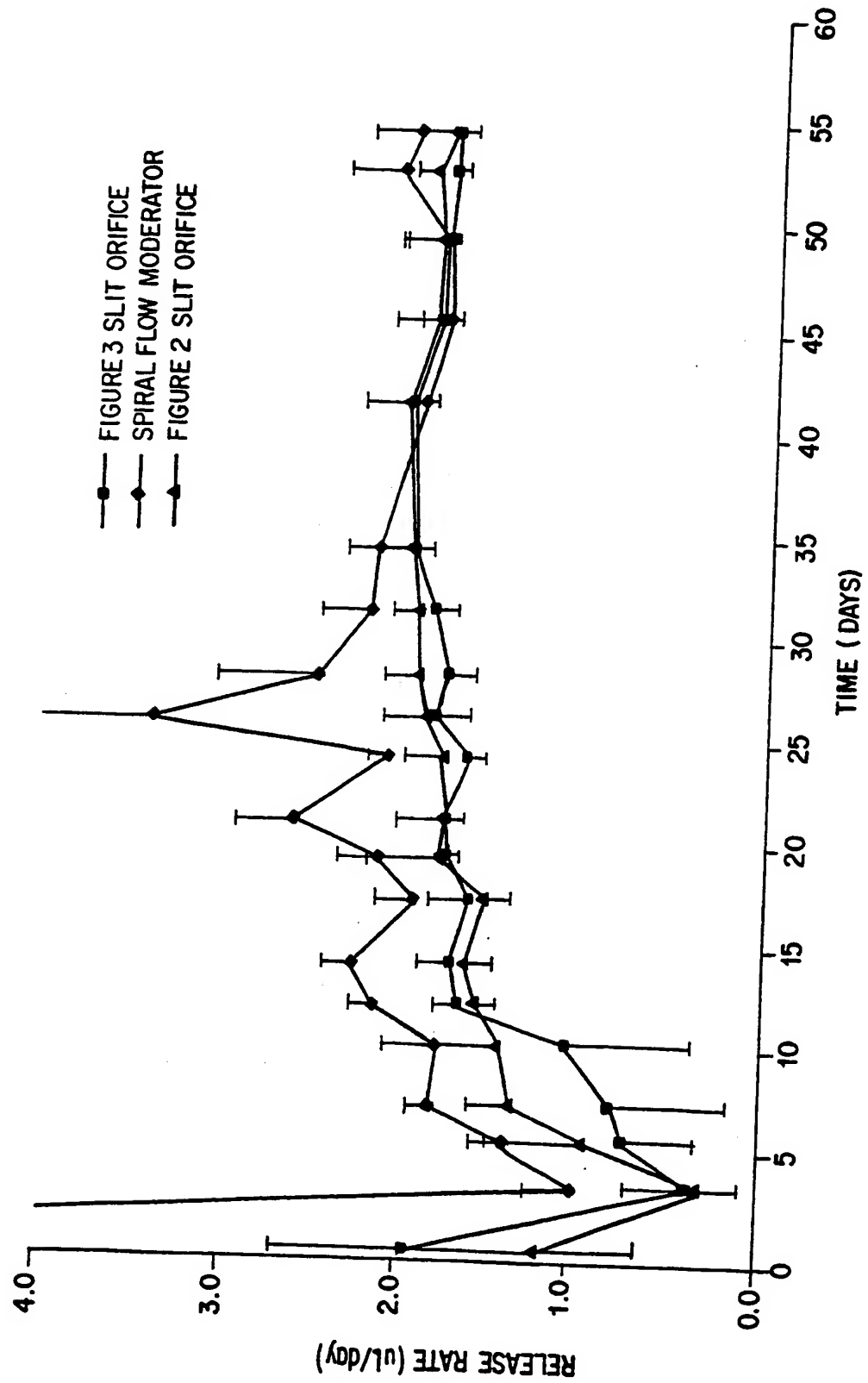


FIG. 4

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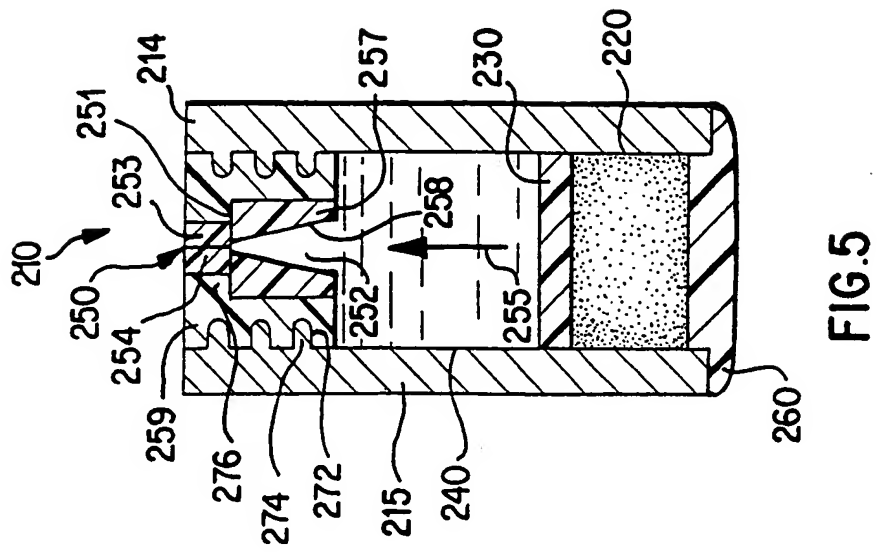


FIG. 5

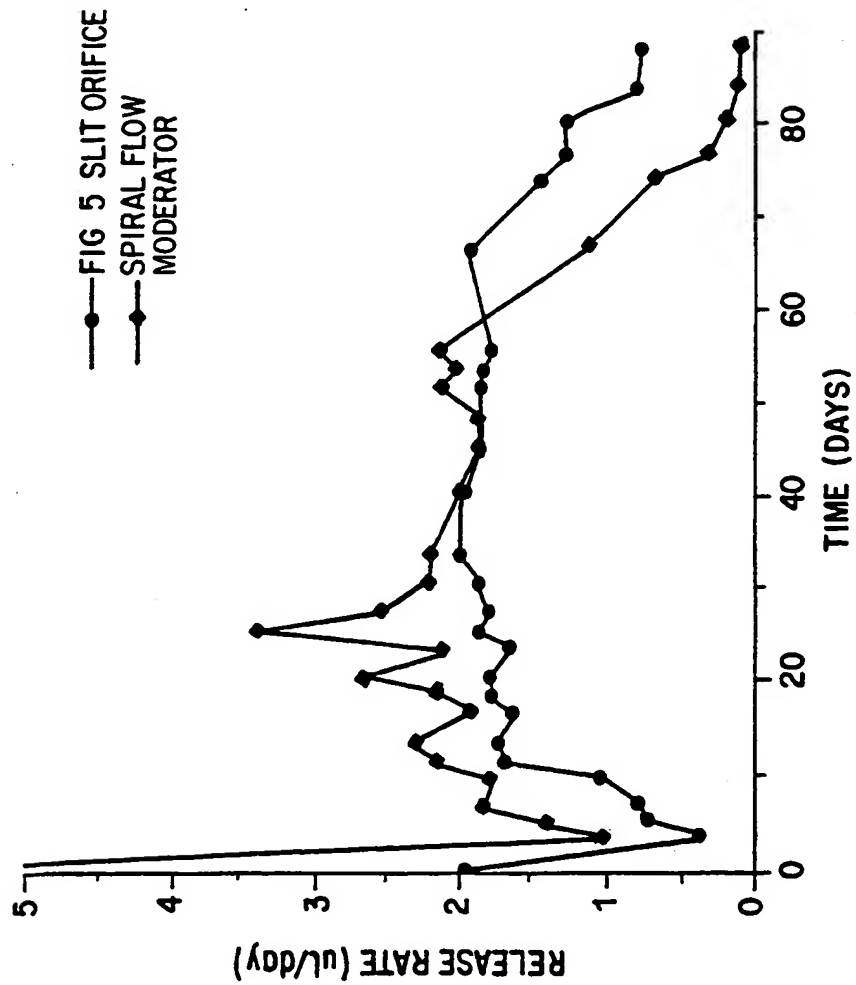


FIG. 6

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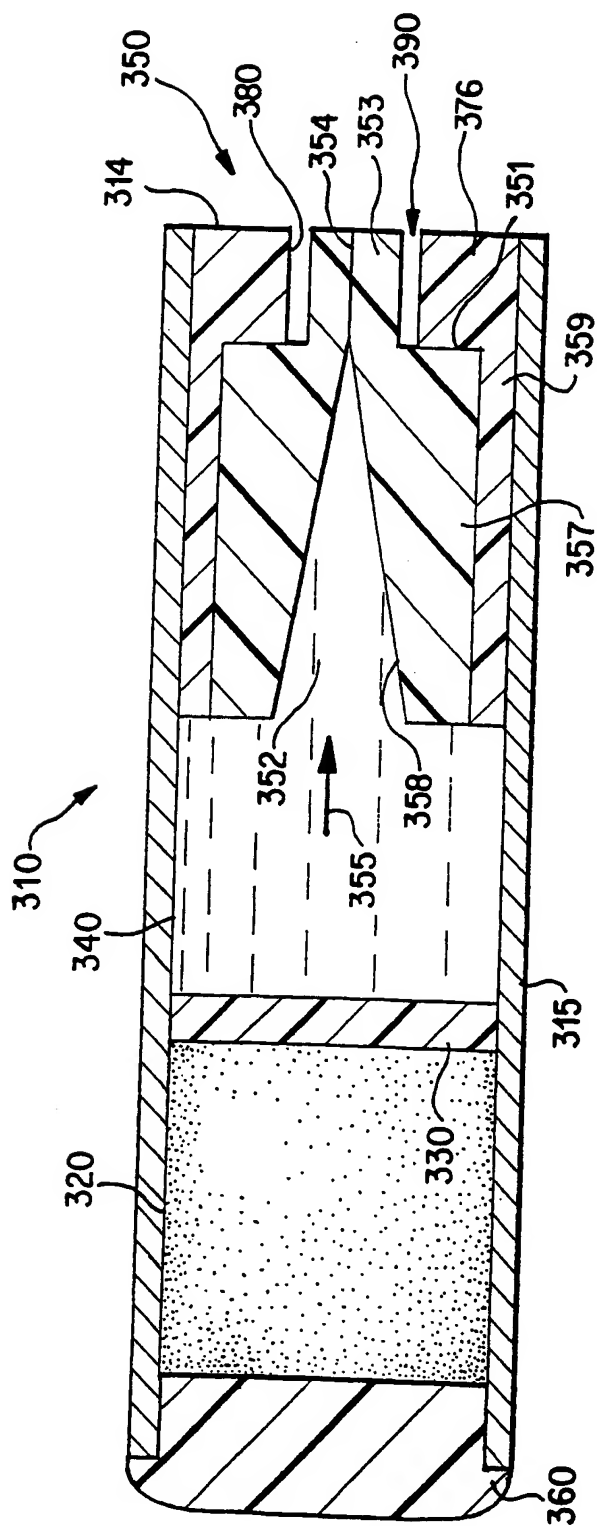


FIG. 7

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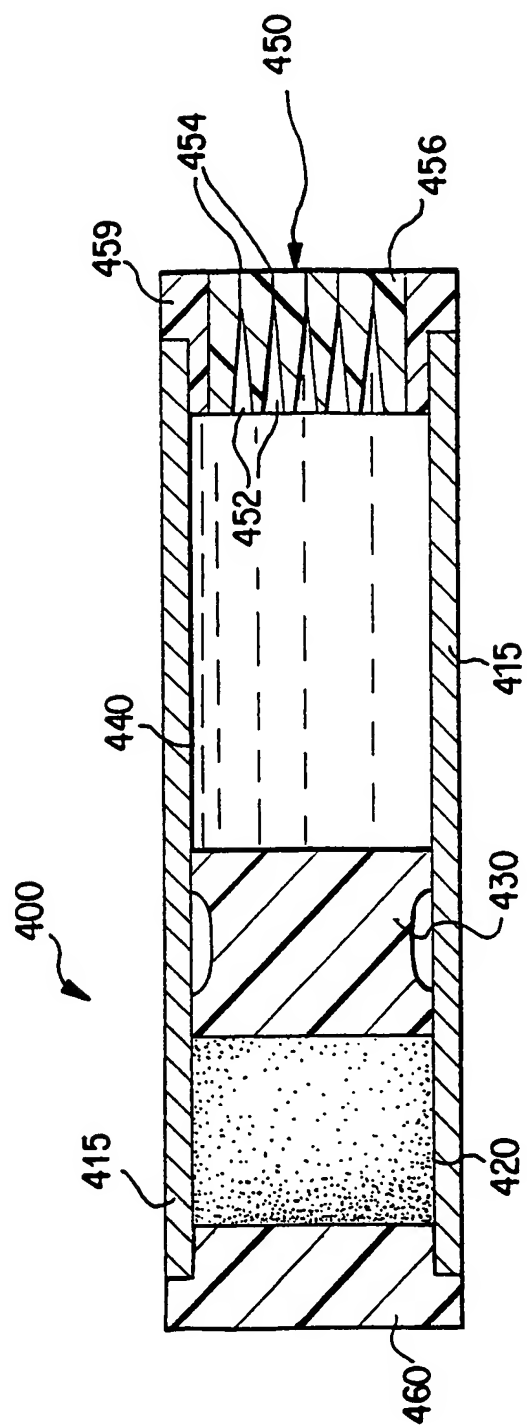


FIG. 8

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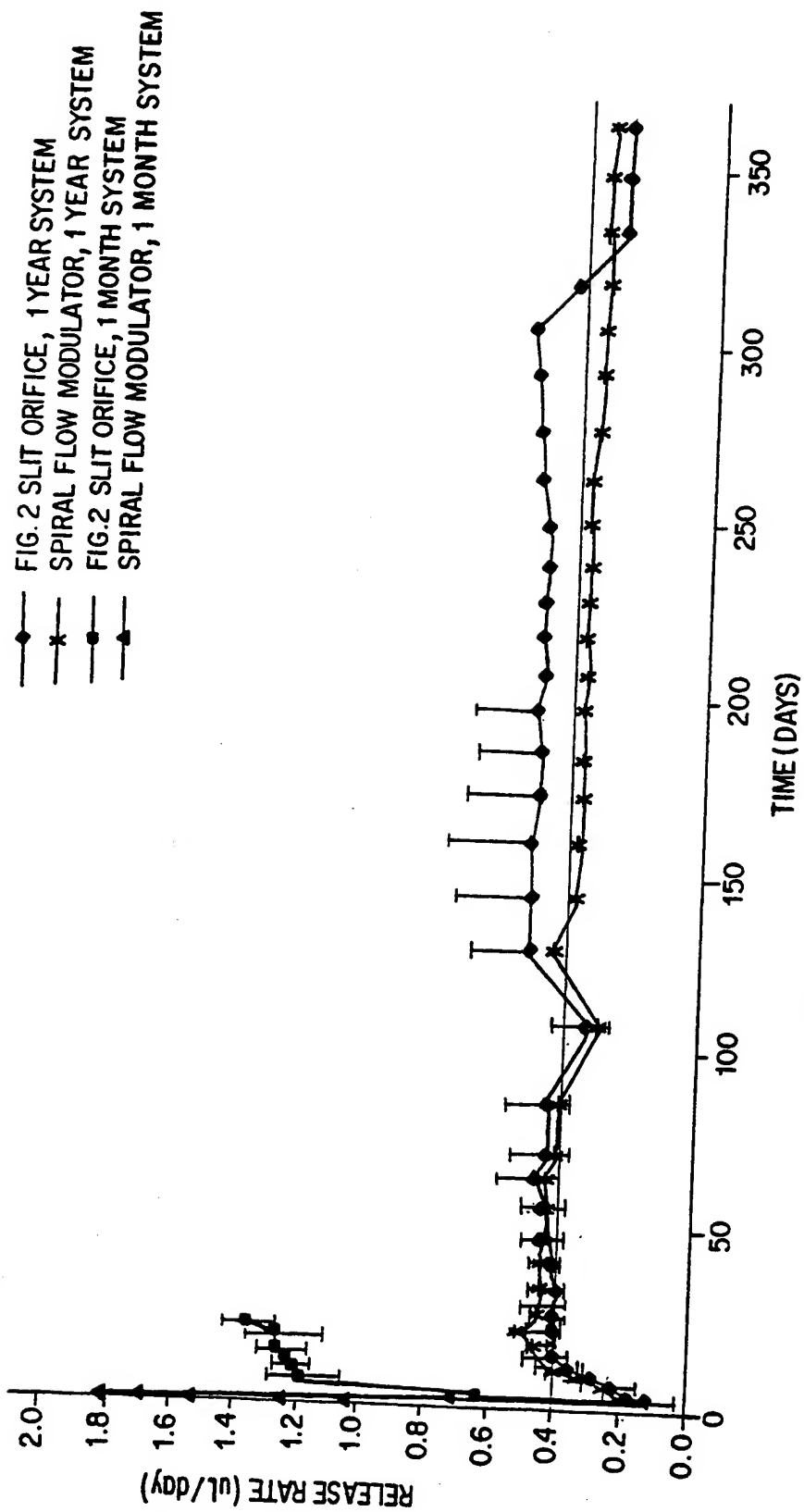


FIG. 9



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/US98/04637 (22) International Filing Date: 10 March 1998 (10.03.98) (30) Priority Data: 60/035,607 24 March 1997 (24.03.97) US (71) Applicant (for all designated States except US): ALZA CORPORATION [US/US]; 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): GUMUCIO, Juan, C. [US/US]; 3063 Forbes Avenue, Santa Clara, CA 95051 (US). DIONNE, Keith, E. [US/US]; 4 Hancock Park, Cambridge, MA 02139 (US). BROWN, James, E. [US/US]; 126 Blueberry Hill Drive, Los Gatos, CA 95032 (US). (74) Agents: CLARKE, Pauline, Ann et al.; Alza Corporation, 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> (88) Date of publication of the international search report: 30 December 1998 (30.12.98)
(54) Title: IMPLANTABLE DELIVERY DEVICE WITH SELF ADJUSTABLE EXIT PORT		
(57) Abstract <p>A delivery device having a first chamber containing an osmotic agent, a membrane forming a wall of the first chamber through which fluid is imbibed by osmosis, a second chamber containing a beneficial agent to be delivered, and a moveable piston separating the two chambers. In fluid communication with the second chamber is an orifice which comprises a slit valve. In the presence of pressure, the beneficial agent pushes through the slit, opening up a channel for delivery of the beneficial agent and creating flow. Because the slit remains closed in the absence of flow (or when the pressure is below the pressure required to open the slit), back diffusion of external fluids is eliminated when the slit is closed, which prevents contamination of the beneficial agent in the second chamber by external fluids. In addition, forward diffusion of the beneficial agent out of the capsule is prevented when the slit is closed. The slit valve opens only to the minimum dimension required to allow the flow generated by the osmotic pumping rate. The slit valve also allows a flow path to open around any obstruction in the slit valve to prevent clogging.</p> <div data-bbox="1088 1102 1412 1827"> </div>		

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/04637

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IPC 6 A61K9/48

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 318 558 A (LINKWITZ ANDREAS ET AL) 7 June 1994	1,8,12
A	see column 2, line 25-59 see column 3, line 17-21 see column 4, line 50 - column 5, line 8 see claim 1 see figure 1	13,17
A	EP 0 627 231 A (ALZA CORP) 7 December 1994 see page 2, line 11-19 see claim 1 see figure 2	1,12,13, 17
A	WO 93 06819 A (ALZA CORP) 15 April 1993 see page 15, line 24 - page 17, line 26 see figures 3,4	1-3,8-10

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La Gaetana, R

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Information on patent family members

International Application No

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